

ISO 9001:2015 (whole Part)

Audit Checklist

294 audit questions across 7 sections.

HOW TO USE THIS CHECKLIST

Each question has three boxes the auditor fills in during the audit:

1. **Compliance** — the binary headline: Compliant / Non-compliant.
2. **Classification** — where the gap is, four-state grid: Documented / Implemented matrix.
3. **Finding level** — severity: Maj / Min / Obs (Major nonconformity / Minor nonconformity / Observation).

Severity is the auditor's call on the day, against the real finding — no severity is pre-suggested per question.



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Context of the organization

Q1 CLAUSE

ISO-9001

Has the organisation determined the external and internal issues relevant to its purpose and strategic direction that affect its ability to achieve the intended results of its QMS?

SOURCE VERBATIM

Cite ISO 9001:2015 Clause 4.1.

COMPLIANCE

Compliant Non-compliant

CLASSIFICATION (FOUR-STATE)

- Documented and Implemented
- Documented Not Implemented
- Not Documented but Implemented
- Not Documented and Not Implemented

FINDING LEVEL

Maj Min Obs
 Maj = Major nonconformity · Min = Minor nonconformity · Obs = Observation

SUGGESTED EVIDENCE TO REQUEST

- QMS context document (external and internal issues)

MANAGEMENT SYSTEM REFERENCE:

FINDINGS / NOTES:

Does the organisation monitor and review information about these external and internal issues?

SOURCE VERBATIM

Cite ISO 9001:2015 Clause 4.1.

COMPLIANCE

Compliant Non-compliant

CLASSIFICATION (FOUR-STATE)

- Documented and Implemented
- Documented Not Implemented
- Not Documented but Implemented
- Not Documented and Not Implemented

FINDING LEVEL

Maj Min Obs
 Maj = Major nonconformity · Min =
 Minor nonconformity · Obs =
 Observation

SUGGESTED EVIDENCE TO REQUEST

- Periodic context-review records

MANAGEMENT SYSTEM REFERENCE:

FINDINGS / NOTES:

Has the organisation determined the interested parties relevant to the QMS?

SOURCE VERBATIM

Cite ISO 9001:2015 Clause 4.2.

COMPLIANCE

Compliant Non-compliant

CLASSIFICATION (FOUR-STATE)

- Documented and Implemented
- Documented Not Implemented
- Not Documented but Implemented
- Not Documented and Not Implemented

FINDING LEVEL

Maj Min Obs
Maj = Major nonconformity · Min =
Minor nonconformity · Obs =
Observation

SUGGESTED EVIDENCE TO REQUEST

- Interested-parties register

MANAGEMENT SYSTEM REFERENCE:

FINDINGS / NOTES: