

Compliance Matrix – GDPR

GDPR – General Data Protection Regulation: Audit Checklist



auditchecklists.org

Reviewed against: GDPR – Consolidated 2016-05-04

Regulation leaves: 498 Article: 381 / 381 Generated: 2026-05-30

Every leaf of the regulation appears below. Each is mapped to the audit-checklist question(s) that cover it, or marked *informational* where no separate audit question applies (definitions, roll-up parents, scope statements). Question references read Q<n> – open the corresponding article/clause of the checklist and locate the question by its number.

Article 1

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.1	– <i>informational only</i> – Subject-matter framing – no controller-side shall.

Article 2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.2(1)	Q1
Article GDPR Art.2(2)(a)	Q2
Article GDPR Art.2(2)(b)	Q3
Article GDPR Art.2(2)(c)	Q4
Article GDPR Art.2(2)(d)	Q5
Article GDPR Art.2(3)	– <i>informational only</i> – Addressed to Union institutions, not controllers in general.
Article GDPR Art.2(4)	– <i>informational only</i> – Without-prejudice clause.

Article 3

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.3(1)	Q1
Article GDPR Art.3(2)(a)	Q2
Article GDPR Art.3(2)(b)	Q3
Article GDPR Art.3(3)	Q4
Guideline EDPB Guidelines 03/2018	— <i>informational only</i> — EDPB refinement of Art. 3 establishment and targeting tests.

Article 4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.4	— <i>informational only</i> — Pure definitional content — terms used by other Articles.

Article 5

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.5(1)(a)	Q1
Article GDPR Art.5(1)(a)	Q2
Article GDPR Art.5(1)(a)	Q3
Article GDPR Art.5(1)(b)	Q4
Article GDPR Art.5(1)(b)	Q5
Article GDPR Art.5(1)(c)	Q6
Article GDPR Art.5(1)(d)	Q7
Article GDPR Art.5(1)(d)	Q8
Article GDPR Art.5(1)(e)	Q9
Article GDPR Art.5(1)(f)	Q10
Article GDPR Art.5(1)(f)	Q11
Article GDPR Art.5(2)	Q12
Article GDPR Art.5(2)	Q13

Article 6

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.6(1)(a)	Q1
Article GDPR Art.6(1)(b)	Q2
Article GDPR Art.6(1)(c)	Q3
Article GDPR Art.6(1)(d)	Q4
Article GDPR Art.6(1)(e)	Q5
Article GDPR Art.6(1)(f)	Q6
Article GDPR Art.6(1)	Q15
Article GDPR Art.6(2)	— <i>informational only</i> — <i>Permission to Member States to legislate.</i>
Article GDPR Art.6(3)	Q7
Article GDPR Art.6(3)	Q8
Article GDPR Art.6(4)	Q9
Article GDPR Art.6(4)(a)	Q10
Article GDPR Art.6(4)(b)	Q11
Article GDPR Art.6(4)(c)	Q12
Article GDPR Art.6(4)(d)	Q13
Article GDPR Art.6(4)(e)	Q14
Guideline EDPB Guidelines 02/2019	— <i>informational only</i> — <i>EDPB narrow-construction guidance for Art. 6(1)(b).</i>

Article 7

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.7(1)	Q1
Article GDPR Art.7(2)	Q2
Article GDPR Art.7(2)	Q3
Article GDPR Art.7(2)	Q4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.7(2)	— <i>informational only</i> — <i>Legal-effect statement, not a controller obligation. Auditor verifies via Art. 7(2) form tests.</i>
Article GDPR Art.7(3)	Q5
Article GDPR Art.7(3)	— <i>informational only</i> — <i>Legal-effect statement, not a controller obligation.</i>
Article GDPR Art.7(3)	Q6
Article GDPR Art.7(3)	Q7
Article GDPR Art.7(4)	Q8
Guideline EDPB Guidelines 05/2020	— <i>informational only</i> — <i>EDPB consent-validity framework.</i>

Article 8

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.8(1)	Q1
Article GDPR Art.8(1)	Q2
Article GDPR Art.8(2)	Q3
Article GDPR Art.8(3)	— <i>informational only</i> — <i>Without-prejudice clause regarding national contract law.</i>

Article 9

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.9(1)	Q1
Article GDPR Art.9(2)(a)	Q2
Article GDPR Art.9(2)(b)	Q3
Article GDPR Art.9(2)(c)	Q4
Article GDPR Art.9(2)(d)	Q5
Article GDPR Art.9(2)(e)	Q6
Article GDPR Art.9(2)(f)	Q7

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.9(2)(g)	Q8
Article GDPR Art.9(2)(h)	Q9
Article GDPR Art.9(2)(i)	Q10
Article GDPR Art.9(2)(j)	Q11
Article GDPR Art.9(3)	Q12
Article GDPR Art.9(4)	— <i>informational only</i> — <i>Permission to Member States.</i>
Guideline EDPB Guidelines 03/2020	— <i>informational only</i> — <i>Health-data + research interaction guidance.</i>

Article 10

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.10	Q1
Article GDPR Art.10	Q2

Article 11

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.11(1)	Q1
Article GDPR Art.11(2)	Q2
Article GDPR Art.11(2)	Q3

Article 12

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.12(1)	Q1
Article GDPR Art.12(1)	Q2
Article GDPR Art.12(1)	Q3
Article GDPR Art.12(1)	Q4
Article GDPR Art.12(1)	Q5
Article GDPR Art.12(1)	Q6
Article GDPR Art.12(1)	Q7

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.12(2)	Q8
Article GDPR Art.12(2)	Q9
Article GDPR Art.12(3)	Q10
Article GDPR Art.12(3)	Q11
Article GDPR Art.12(3)	Q12
Article GDPR Art.12(3)	Q13
Article GDPR Art.12(4)	Q14
Article GDPR Art.12(5)	Q15
Article GDPR Art.12(5)	Q16
Article GDPR Art.12(5)	Q17
Article GDPR Art.12(6)	Q18
Article GDPR Art.12(7)	Q19
Article GDPR Art.12(7)	Q20
Article GDPR Art.12(8)	— <i>informational only</i> — Addressed to Commission.
Guideline EDPB Guidelines WP260	— <i>informational only</i> — Art. 29 WP/EDPB transparency framework.

Article 13

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.13(1)(a)	Q1
Article GDPR Art.13(1)(a)	Q2
Article GDPR Art.13(1)(b)	Q3
Article GDPR Art.13(1)(c)	Q4
Article GDPR Art.13(1)(c)	Q5
Article GDPR Art.13(1)(d)	Q6
Article GDPR Art.13(1)(e)	Q7
Article GDPR Art.13(1)(f)	Q8
Article GDPR Art.13(1)(f)	Q9

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.13(1)(f)	Q10
Article GDPR Art.13(2)(a)	Q11
Article GDPR Art.13(2)(b)	Q12
Article GDPR Art.13(2)(c)	Q13
Article GDPR Art.13(2)(d)	Q14
Article GDPR Art.13(2)(e)	Q15
Article GDPR Art.13(2)(e)	Q16
Article GDPR Art.13(2)(f)	Q17
Article GDPR Art.13(2)(f)	Q18
Article GDPR Art.13(3)	Q19
Article GDPR Art.13(4)	Q20

Article 14

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.14(1)(a)	Q1
Article GDPR Art.14(1)(a)	Q2
Article GDPR Art.14(1)(b)	Q3
Article GDPR Art.14(1)(c)	Q4
Article GDPR Art.14(1)(c)	Q5
Article GDPR Art.14(1)(d)	Q6
Article GDPR Art.14(1)(e)	Q7
Article GDPR Art.14(1)(f)	Q8
Article GDPR Art.14(1)(f)	Q9
Article GDPR Art.14(1)(f)	Q10
Article GDPR Art.14(2)(a)	Q11
Article GDPR Art.14(2)(b)	Q12
Article GDPR Art.14(2)(c)	Q13
Article GDPR Art.14(2)(d)	Q14

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.14(2)(e)	Q15
Article GDPR Art.14(2)(f)	Q16
Article GDPR Art.14(2)(f)	Q17
Article GDPR Art.14(2)(g)	Q18
Article GDPR Art.14(2)(g)	Q19
Article GDPR Art.14(3)(a)	Q20
Article GDPR Art.14(3)(b)	Q21
Article GDPR Art.14(3)(c)	Q22
Article GDPR Art.14(4)	Q23
Article GDPR Art.14(5)(a)	Q24
Article GDPR Art.14(5)(b)	Q25
Article GDPR Art.14(5)(b)	Q26
Article GDPR Art.14(5)(c)	Q27
Article GDPR Art.14(5)(d)	Q28

Article 15

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.15(1)	Q1
Article GDPR Art.15(1)	Q2
Article GDPR Art.15(1)(a)	Q3
Article GDPR Art.15(1)(b)	Q4
Article GDPR Art.15(1)(c)	Q5
Article GDPR Art.15(1)(d)	Q6
Article GDPR Art.15(1)(e)	Q7
Article GDPR Art.15(1)(f)	Q8
Article GDPR Art.15(1)(g)	Q9
Article GDPR Art.15(1)(h)	Q10
Article GDPR Art.15(1)(h)	Q11

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.15(2)	Q12
Article GDPR Art.15(3)	Q13
Article GDPR Art.15(3)	Q14
Article GDPR Art.15(3)	Q15
Article GDPR Art.15(4)	Q16

Article 16

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.16	Q1
Article GDPR Art.16	Q2

Article 17

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.17(1)	Q1
Article GDPR Art.17(1)(a)	Q2
Article GDPR Art.17(1)(b)	Q3
Article GDPR Art.17(1)(c)	Q4
Article GDPR Art.17(1)(d)	Q5
Article GDPR Art.17(1)(e)	Q6
Article GDPR Art.17(1)(f)	Q7
Article GDPR Art.17(2)	Q8
Article GDPR Art.17(3)(a)	Q9
Article GDPR Art.17(3)(b)	Q10
Article GDPR Art.17(3)(c)	Q11
Article GDPR Art.17(3)(d)	Q12
Article GDPR Art.17(3)(e)	Q13

Article 18

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.18(1)(a)	Q1
Article GDPR Art.18(1)(b)	Q2
Article GDPR Art.18(1)(c)	Q3
Article GDPR Art.18(1)(d)	Q4
Article GDPR Art.18(2)	Q5
Article GDPR Art.18(3)	Q6

Article 19

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.19	Q1
Article GDPR Art.19	Q2

Article 20

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.20(1)	Q1
Article GDPR Art.20(1)	Q2
Article GDPR Art.20(2)	Q3
Article GDPR Art.20(3)	— <i>informational only</i> — <i>Without-prejudice clause.</i>
Article GDPR Art.20(3)	Q4
Article GDPR Art.20(4)	Q5

Article 21

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.21(1)	Q1
Article GDPR Art.21(1)	Q2
Article GDPR Art.21(2)	Q3
Article GDPR Art.21(3)	Q4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.21(4)	Q5
Article GDPR Art.21(5)	Q6
Article GDPR Art.21(6)	Q7

Article 22

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.22(1)	Q1
Article GDPR Art.22(2)(a)	Q2
Article GDPR Art.22(2)(b)	Q3
Article GDPR Art.22(2)(c)	Q4
Article GDPR Art.22(3)	Q5
Article GDPR Art.22(3)	Q6
Article GDPR Art.22(3)	Q7
Article GDPR Art.22(4)	Q8
Article GDPR Art.22(4)	Q9
Guideline EDPB Guidelines WP251rev.01	— <i>informational only</i> — Art. 29 WP/EDPB ADM and profiling framework.

Article 23

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.23	— <i>informational only</i> — Permission to legislator — not a controller obligation directly. Controllers under a national restriction comply with the national law's specific terms.

Article 24

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.24(1)	Q1
Article GDPR Art.24(1)	Q2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.24(2)	Q3
Article GDPR Art.24(3)	— <i>informational only</i> — <i>Permissive</i> — <i>may be used; audited via Art. 24(1).</i>

Article 25

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.25(1)	Q1
Article GDPR Art.25(1)	Q2
Article GDPR Art.25(1)	Q3
Article GDPR Art.25(1)	Q4
Article GDPR Art.25(1)	Q5
Article GDPR Art.25(2)	Q6
Article GDPR Art.25(2)	Q7
Article GDPR Art.25(3)	— <i>informational only</i> — <i>Permissive</i> — <i>may be used.</i>
Guideline EDPB Guidelines 04/2019 DPbDD	— <i>informational only</i> — <i>EDPB DPbDD framework.</i>

Article 26

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.26(1)	Q1
Article GDPR Art.26(1)	Q2
Article GDPR Art.26(2)	Q3
Article GDPR Art.26(2)	Q4
Article GDPR Art.26(3)	— <i>informational only</i> — <i>Legal-effect statement protecting data-subject rights.</i>
Guideline EDPB Guidelines 07/2020	— <i>informational only</i> — <i>EDPB controller/processor/joint-controller framework.</i>

Article 27

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.27(1)	Q1
Article GDPR Art.27(2)(a)	Q2
Article GDPR Art.27(2)(b)	Q3
Article GDPR Art.27(3)	Q4
Article GDPR Art.27(4)	Q5
Article GDPR Art.27(5)	— <i>informational only</i> — <i>Without-prejudice clause.</i>

Article 28

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.28(1)	Q1
Article GDPR Art.28(2)	Q2
Article GDPR Art.28(2)	Q3
Article GDPR Art.28(3)	Q4
Article GDPR Art.28(3)	Q5
Article GDPR Art.28(3)(a)	Q6
Article GDPR Art.28(3)(b)	Q7
Article GDPR Art.28(3)(c)	Q8
Article GDPR Art.28(3)(d)	Q9
Article GDPR Art.28(3)(e)	Q10
Article GDPR Art.28(3)(f)	Q11
Article GDPR Art.28(3)(g)	Q12
Article GDPR Art.28(3)(h)	Q13
Article GDPR Art.28(3)	Q14
Article GDPR Art.28(4)	Q15
Article GDPR Art.28(4)	Q16
Article GDPR Art.28(5)	— <i>informational only</i> — <i>Permissive</i> — <i>may be used.</i>

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.28(6)	— <i>informational only</i> — <i>Permissive choice of contractual form.</i>
Article GDPR Art.28(7)	— <i>informational only</i> — <i>Addressed to Commission.</i>
Article GDPR Art.28(8)	— <i>informational only</i> — <i>Addressed to SA.</i>
Article GDPR Art.28(9)	Q17
Article GDPR Art.28(10)	— <i>informational only</i> — <i>Legal-effect statement.</i>

Article 29

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.29	Q1

Article 30

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.30(1)	Q1
Article GDPR Art.30(1)(a)	Q2
Article GDPR Art.30(1)(b)	Q3
Article GDPR Art.30(1)(c)	Q4
Article GDPR Art.30(1)(d)	Q5
Article GDPR Art.30(1)(e)	Q6
Article GDPR Art.30(1)(f)	Q7
Article GDPR Art.30(1)(g)	Q8
Article GDPR Art.30(2)	Q9
Article GDPR Art.30(2)(a)	Q10
Article GDPR Art.30(2)(b)	Q11
Article GDPR Art.30(2)(c)	Q12
Article GDPR Art.30(2)(d)	Q13
Article GDPR Art.30(3)	Q14

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.30(4)	Q15
Article GDPR Art.30(5)	Q16

Article 31

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.31	Q1

Article 32

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.32(1)	Q1
Article GDPR Art.32(1)(a)	Q2
Article GDPR Art.32(1)(a)	Q3
Article GDPR Art.32(1)(b)	Q4
Article GDPR Art.32(1)(b)	Q5
Article GDPR Art.32(1)(b)	Q6
Article GDPR Art.32(1)(b)	Q7
Article GDPR Art.32(1)(c)	Q8
Article GDPR Art.32(1)(d)	Q9
Article GDPR Art.32(2)	Q10
Article GDPR Art.32(3)	— <i>informational only</i> — <i>Permissive</i> — <i>may be used.</i>
Article GDPR Art.32(4)	Q11

Article 33

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.33(1)	Q1
Article GDPR Art.33(1)	Q2
Article GDPR Art.33(1)	Q3
Article GDPR Art.33(2)	Q4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.33(3)(a)	Q5
Article GDPR Art.33(3)(a)	Q6
Article GDPR Art.33(3)(a)	Q7
Article GDPR Art.33(3)(b)	Q8
Article GDPR Art.33(3)(c)	Q9
Article GDPR Art.33(3)(d)	Q10
Article GDPR Art.33(3)(d)	Q11
Article GDPR Art.33(4)	Q12
Article GDPR Art.33(5)	Q13
Guideline EDPB Guidelines 09/2022	— <i>informational only</i> — EDPB breach-notification framework.

Article 34

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.34(1)	Q1
Article GDPR Art.34(2)	Q2
Article GDPR Art.34(2)	Q3
Article GDPR Art.34(3)(a)	Q4
Article GDPR Art.34(3)(b)	Q5
Article GDPR Art.34(3)(c)	Q6
Article GDPR Art.34(4)	— <i>informational only</i> — Addressed to SA.

Article 35

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.35(1)	Q1
Article GDPR Art.35(1)	— <i>informational only</i> — Permissive — controller may combine.
Article GDPR Art.35(2)	Q2
Article GDPR Art.35(3)(a)	Q3

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.35(3)(b)	Q4
Article GDPR Art.35(3)(c)	Q5
Article GDPR Art.35(4)	— <i>informational only</i> — Addressed to SA.
Article GDPR Art.35(5)	— <i>informational only</i> — Addressed to SA.
Article GDPR Art.35(6)	— <i>informational only</i> — Addressed to SA / Board.
Article GDPR Art.35(7)(a)	Q6
Article GDPR Art.35(7)(b)	Q7
Article GDPR Art.35(7)(b)	Q8
Article GDPR Art.35(7)(c)	Q9
Article GDPR Art.35(7)(d)	Q10
Article GDPR Art.35(7)(d)	Q11
Article GDPR Art.35(8)	— <i>informational only</i> — Procedural — for SA consideration.
Article GDPR Art.35(9)	Q12
Article GDPR Art.35(10)	Q13
Article GDPR Art.35(11)	Q14
Guideline EDPB Guidelines WP248rev.01	— <i>informational only</i> — Art. 29 WP/EDPB DPIA criteria and 9-factor risk test.

Article 36

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.36(1)	Q1
Article GDPR Art.36(2)	— <i>informational only</i> — Addressed to SA.
Article GDPR Art.36(3)(a)	Q2
Article GDPR Art.36(3)(b)	Q3
Article GDPR Art.36(3)(c)	Q4
Article GDPR Art.36(3)(d)	Q5

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.36(3)(e)	Q6
Article GDPR Art.36(3)(f)	Q7
Article GDPR Art.36(4)	— <i>informational only</i> — Addressed to Member States.
Article GDPR Art.36(5)	— <i>informational only</i> — Permission to Member States.

Article 37

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.37(1)(a)	Q1
Article GDPR Art.37(1)(b)	Q2
Article GDPR Art.37(1)(c)	Q3
Article GDPR Art.37(2)	— <i>informational only</i> — Permissive arrangement.
Article GDPR Art.37(3)	— <i>informational only</i> — Permissive arrangement.
Article GDPR Art.37(4)	Q4
Article GDPR Art.37(5)	Q5
Article GDPR Art.37(6)	— <i>informational only</i> — Permissive arrangement.
Article GDPR Art.37(7)	Q6
Article GDPR Art.37(7)	Q7
Guideline EDPB Guidelines WP243rev.01	— <i>informational only</i> — Art. 29 WP/EDPB DPO framework — appointment, position, tasks.

Article 38

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.38(1)	Q1
Article GDPR Art.38(2)	Q2
Article GDPR Art.38(2)	Q3
Article GDPR Art.38(2)	Q4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.38(3)	Q5
Article GDPR Art.38(3)	Q6
Article GDPR Art.38(3)	Q7
Article GDPR Art.38(4)	Q8
Article GDPR Art.38(5)	Q9
Article GDPR Art.38(6)	— <i>informational only</i> — <i>Permissive.</i>
Article GDPR Art.38(6)	Q10

Article 39

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.39(1)(a)	Q1
Article GDPR Art.39(1)(b)	Q2
Article GDPR Art.39(1)(b)	Q3
Article GDPR Art.39(1)(b)	Q4
Article GDPR Art.39(1)(b)	Q5
Article GDPR Art.39(1)(c)	Q6
Article GDPR Art.39(1)(c)	Q7
Article GDPR Art.39(1)(d)	Q8
Article GDPR Art.39(1)(e)	Q9
Article GDPR Art.39(2)	Q10

Article 40

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.40	Q1
Article GDPR Art.40	— <i>informational only</i> — <i>Applies to associations / representative bodies and SA/EDPB/Commission.</i>

Article 41

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.41	— <i>informational only</i> — Applies to monitoring bodies and SAs.

Article 42

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.42	Q1
Article GDPR Art.42	— <i>informational only</i> — Framework provisions applicable to SA/Board/Commission.

Article 43

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.43	— <i>informational only</i> — Applies to certification bodies and SAs.

Article 44

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.44	Q1
Article GDPR Art.44	Q2
Article GDPR Art.44	Q3

Article 45

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.45(1)	Q1
Article GDPR Art.45(2)	— <i>informational only</i> — Addressed to Commission.
Article GDPR Art.45(3)	— <i>informational only</i> — Addressed to Commission.
Article GDPR Art.45(4)	— <i>informational only</i> — Addressed to Commission.

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.45(5)	— <i>informational only</i> — Addressed to Commission.
Article GDPR Art.45(6)	— <i>informational only</i> — Addressed to Commission.
Article GDPR Art.45(7)	— <i>informational only</i> — Without-prejudice clause.
Article GDPR Art.45(8)	— <i>informational only</i> — Addressed to Commission.
Article GDPR Art.45(9)	— <i>informational only</i> — Transitional.

Article 46

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.46(1)	Q1
Article GDPR Art.46(1)	Q2
Article GDPR Art.46(2)(a)	Q3
Article GDPR Art.46(2)(b)	Q4
Article GDPR Art.46(2)(c)	Q5
Article GDPR Art.46(2)(d)	Q6
Article GDPR Art.46(2)(e)	Q7
Article GDPR Art.46(2)(f)	Q8
Article GDPR Art.46(3)(a)	Q9
Article GDPR Art.46(3)(b)	Q10
Article GDPR Art.46(4)	— <i>informational only</i> — Addressed to SA.
Article GDPR Art.46(5)	— <i>informational only</i> — Transitional.
Guideline EDPB Recommendations 01/2020	— <i>informational only</i> — Schrems-II transfer-impact assessment framework.

Article 47

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.47(1)(a)	Q1
Article GDPR Art.47(1)(b)	Q2
Article GDPR Art.47(1)(c)	Q3
Article GDPR Art.47(2)(a)	Q4
Article GDPR Art.47(2)(b)	Q5
Article GDPR Art.47(2)(c)	Q6
Article GDPR Art.47(2)(d)	Q7
Article GDPR Art.47(2)(e)	Q8
Article GDPR Art.47(2)(f)	Q9
Article GDPR Art.47(2)(g)	Q10
Article GDPR Art.47(2)(h)	Q11
Article GDPR Art.47(2)(i)	Q12
Article GDPR Art.47(2)(j)	Q13
Article GDPR Art.47(2)(k)	Q14
Article GDPR Art.47(2)(l)	Q15
Article GDPR Art.47(2)(m)	Q16
Article GDPR Art.47(2)(n)	Q17
Article GDPR Art.47(3)	— <i>informational only</i> — Addressed to Commission.

Article 48

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.48	Q1

Article 49

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.49(1)(a)	Q1
Article GDPR Art.49(1)(b)	Q2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.49(1)(c)	Q3
Article GDPR Art.49(1)(d)	Q4
Article GDPR Art.49(1)(e)	Q5
Article GDPR Art.49(1)(f)	Q6
Article GDPR Art.49(1)(g)	Q7
Article GDPR Art.49(1)	Q8
Article GDPR Art.49(1)	Q9
Article GDPR Art.49(1)	Q10
Article GDPR Art.49(2)	Q11
Article GDPR Art.49(3)	Q12
Article GDPR Art.49(4)	— <i>informational only</i> — Addressed to Union/Member State law.
Article GDPR Art.49(5)	— <i>informational only</i> — Permission to Union/Member State.
Article GDPR Art.49(6)	Q13

Article 50

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.50	— <i>informational only</i> — Addressed to Commission / SAs.

Article 51

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.51	— <i>informational only</i> — Addressed to Member States / SA.

Article 52

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.52	— <i>informational only</i> — Addressed to SA / Member State.

Article 53

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.53</p>	<p>— <i>informational only</i> — Addressed to Member States.</p>

Article 54

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.54</p>	<p>— <i>informational only</i> — Addressed to Member States.</p>

Article 55

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.55</p>	<p>— <i>informational only</i> — Addressed to SA.</p>

Article 56

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.56</p>	<p>— <i>informational only</i> — Addressed to SA.</p>

Article 57

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.57</p>	<p>— <i>informational only</i> — Addressed to SA.</p>

Article 58

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.58</p>	<p>— <i>informational only</i> — Addressed to SA. Controller-side: cooperate with SA exercising these powers (audited via Art. 31).</p>

Article 59

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.59	— <i>informational only</i> — Addressed to SA.

Article 60

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.60	— <i>informational only</i> — Addressed to SAs.

Article 61

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.61	— <i>informational only</i> — Addressed to SAs.

Article 62

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.62	— <i>informational only</i> — Addressed to SAs / Member States.

Article 63

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.63	— <i>informational only</i> — Addressed to SAs / Commission.

Article 64

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.64	— <i>informational only</i> — Addressed to Board / SA.

Article 65

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.65	— <i>informational only</i> — Addressed to Board / SAs.

Article 66

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.66	— <i>informational only</i> — Addressed to Board / SA.

Article 67

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.67	— <i>informational only</i> — Addressed to Commission / SAs / Board.

Article 68

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.68	— <i>informational only</i> — Addressed to Union institutions.

Article 69

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.69	— <i>informational only</i> — Addressed to Board.

Article 70

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.70	— <i>informational only</i> — Addressed to Board.

Article 71

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.71	— <i>informational only</i> — Addressed to Board.

Article 72

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.72	— <i>informational only</i> — Addressed to Board.

Article 73

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.73	— <i>informational only</i> — Addressed to Board.

Article 74

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.74	— <i>informational only</i> — Addressed to Board chair.

Article 75

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.75	— <i>informational only</i> — Addressed to EDPS / Board.

Article 76

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.76	— <i>informational only</i> — Addressed to Board.

Article 77

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.77</p>	<p>— <i>informational only</i> — <i>Right exercised by data subject against SA.</i></p>

Article 78

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.78</p>	<p>— <i>informational only</i> — <i>Right exercised in courts.</i></p>

Article 79

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.79</p>	<p>— <i>informational only</i> — <i>Right exercised by data subjects in courts.</i></p>

Article 80

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.80(1)</p>	<p>— <i>informational only</i> — <i>Right of data subjects.</i></p>
<p>Article GDPR Art.80(2)</p>	<p>— <i>informational only</i> — <i>Permission to Member States.</i></p>

Article 81

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.81</p>	<p>— <i>informational only</i> — <i>Addressed to courts.</i></p>

Article 82

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.82</p>	<p>— <i>informational only</i> — <i>Liability framework — applies in litigation; not a direct audit obligation.</i></p>

Article 83

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.83</p>	<p>— <i>informational only</i> — Addressed to SA. Controller-side: cooperate with imposed fines/orders via Art. 31.</p>

Article 84

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.84</p>	<p>— <i>informational only</i> — Addressed to Member States.</p>

Article 85

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.85</p>	<p>— <i>informational only</i> — Addressed to Member States. Controllers under Art. 85 derogations follow national-law-specific obligations.</p>

Article 86

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.86</p>	<p>— <i>informational only</i> — Addressed to public authorities / bodies under national disclosure law.</p>

Article 87

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.87</p>	<p>— <i>informational only</i> — Addressed to Member States.</p>

Article 88

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.88(1)	— <i>informational only</i> — Addressed to Member States; rules become applicable obligations once enacted nationally.
Article GDPR Art.88(2)	Q1
Article GDPR Art.88(2)	Q2
Article GDPR Art.88(2)	Q3
Article GDPR Art.88(2)	Q4
Article GDPR Art.88(3)	— <i>informational only</i> — Addressed to Member States.

Article 89

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.89(1)	Q1
Article GDPR Art.89(1)	Q2
Article GDPR Art.89(1)	Q3
Article GDPR Art.89(1)	Q4
Article GDPR Art.89(2)	— <i>informational only</i> — Permission to Union/Member State.
Article GDPR Art.89(3)	— <i>informational only</i> — Permission to Union/Member State.
Article GDPR Art.89(4)	Q5

Article 90

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.90	— <i>informational only</i> — Addressed to Member States.

Article 91

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.91</p>	<p>— <i>informational only</i> — Applies to specific entities; pre-existing rules may continue if brought into line.</p>

Article 92

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.92</p>	<p>— <i>informational only</i> — Addressed to Commission / Parliament / Council.</p>

Article 93

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.93</p>	<p>— <i>informational only</i> — Addressed to Commission.</p>

Article 94

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.94</p>	<p>— <i>informational only</i> — Transitional.</p>

Article 95

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.95</p>	<p>— <i>informational only</i> — Without-prejudice / interaction clause.</p>

Article 96

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
<p>Article GDPR Art.96</p>	<p>— <i>informational only</i> — Transitional.</p>

Article 97

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.97	— <i>informational only</i> — Addressed to Commission.

Article 98

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.98	— <i>informational only</i> — Addressed to Commission.

Article 99

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article GDPR Art.99	— <i>informational only</i> — Transitional.
