

Compliance Matrix – DORA RTS

DORA RTS – Regulatory Technical Standards: Audit Checklist



auditchecklists.org

Reviewed against: DORA RTS

Regulation leaves: 485 Article: 480 / 480 Generated: 2026-05-31

Every leaf of the regulation appears below. Each is mapped to the audit-checklist question(s) that cover it, or marked *informational* where no separate audit question applies (definitions, roll-up parents, scope statements). Question references read Q<n> – open the corresponding article/clause of the checklist and locate the question by its number.

RTS-1772 Article 1

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.1(1)	Q1
Article RTS-1772 Art.1(2)	Q2
Article RTS-1772 Art.1(3)	Q3
Article RTS-1772 Art.1(4)	Q4
Article RTS-1772 Art.1(5)	Q5

RTS-1772 Article 2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.2(1)(a)	Q1
Article RTS-1772 Art.2(1)(b)	Q2
Article RTS-1772 Art.2(1)(c)	Q3
Article RTS-1772 Art.2(1)(d)	Q4
Article RTS-1772 Art.2(2)	Q5

RTS-1772 Article 3

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.3(1)	Q1

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.3(2)	Q2

RTS-1772 Article 4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.4(a)	Q1
Article RTS-1772 Art.4(b)	Q2
Article RTS-1772 Art.4(c)	Q3

RTS-1772 Article 5

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.5(a)	Q1
Article RTS-1772 Art.5(b)	Q2
Article RTS-1772 Art.5(c)	Q3
Article RTS-1772 Art.5(d)	Q4

RTS-1772 Article 6

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.6(a)	Q1
Article RTS-1772 Art.6(b)	Q2
Article RTS-1772 Art.6(c)	Q3

RTS-1772 Article 7

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.7(1)(a)	Q1
Article RTS-1772 Art.7(1)(b)	Q2
Article RTS-1772 Art.7(1)(c)	Q3
Article RTS-1772 Art.7(1)(d)	Q4
Article RTS-1772 Art.7(1)(e)	Q5
Article RTS-1772 Art.7(1)(f)	Q6

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.7(1)(g)	Q7
Article RTS-1772 Art.7(1)(h)	Q8
Article RTS-1772 Art.7(2)	Q9
Article RTS-1772 Art.7(3)	Q10
Article RTS-1772 Art.7(4)	Q11

RTS-1772 Article 8

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.8(1)(a)	Q1
Article RTS-1772 Art.8(1)(b)	Q2
Article RTS-1772 Art.8(2)	Q3

RTS-1772 Article 9

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.9(1)(a)	Q1
Article RTS-1772 Art.9(1)(b)	Q2
Article RTS-1772 Art.9(1)(c)	Q3
Article RTS-1772 Art.9(1)(d)	Q4
Article RTS-1772 Art.9(1)(e)	Q5
Article RTS-1772 Art.9(1)(f)	Q6
Article RTS-1772 Art.9(1)	Q7
Article RTS-1772 Art.9(2)	Q8
Article RTS-1772 Art.9(3)(a)	Q9
Article RTS-1772 Art.9(3)(b)	Q10
Article RTS-1772 Art.9(4)	Q11
Article RTS-1772 Art.9(5)(a)	Q12
Article RTS-1772 Art.9(5)(b)	Q13
Article RTS-1772 Art.9(6)	Q14

RTS-1772 Article 10

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.10(a)	Q1
Article RTS-1772 Art.10(b)(i)	Q2
Article RTS-1772 Art.10(b)(ii)	Q3
Article RTS-1772 Art.10(b)(iii)	Q4
Article RTS-1772 Art.10(c)(i)	Q5
Article RTS-1772 Art.10(c)(ii)	Q6
Article RTS-1772 Art.10(c)(iii)	Q7
Article RTS-1772 Art.10	Q8

RTS-1772 Article 11

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.11	– <i>informational only</i> – Methodology used by the receiving CA to determine relevance to peer CAs; the entity provides input data via the §19 reports but does not perform this assessment itself.

RTS-1772 Article 12

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.12	– <i>informational only</i> – CA-to-CA / CA-to-ESA dissemination flow per DORA Art. 19(6)/(7); authority-side.

RTS-1772 Article 13

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1772 Art.13	– <i>informational only</i> – Final-provisions clause; not an auditable obligation.

RTS-1773 Article 1

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.1(a)	Q1
Article RTS-1773 Art.1(b)	Q2
Article RTS-1773 Art.1(c)	Q3
Article RTS-1773 Art.1(d)	Q4
Article RTS-1773 Art.1(e)	Q5
Article RTS-1773 Art.1(f)	Q6
Article RTS-1773 Art.1(g)	Q7
Article RTS-1773 Art.1(h)	Q8
Article RTS-1773 Art.1(i)	Q9
Article RTS-1773 Art.1(j)	Q10

RTS-1773 Article 2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.2	Q1

RTS-1773 Article 3

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.3(1)	Q1
Article RTS-1773 Art.3(2)	Q2
Article RTS-1773 Art.3(3)	Q3
Article RTS-1773 Art.3(4)	Q4
Article RTS-1773 Art.3(5)	Q5
Article RTS-1773 Art.3(6)(a)	Q6
Article RTS-1773 Art.3(6)(b)	Q7
Article RTS-1773 Art.3(6)(c)	Q8
Article RTS-1773 Art.3(6)(d)	Q9
Article RTS-1773 Art.3(7)	Q10

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.3(8) (a)	Q11
Article RTS-1773 Art.3(8) (b)	Q12
Article RTS-1773 Art.3(8) (c)	Q13
Article RTS-1773 Art.3(8) (d)	Q14

RTS-1773 Article 4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.4(a)	Q1
Article RTS-1773 Art.4(b)	Q2
Article RTS-1773 Art.4(c)	Q3
Article RTS-1773 Art.4(d)	Q4
Article RTS-1773 Art.4(e)	Q5
Article RTS-1773 Art.4(f)	Q6

RTS-1773 Article 5

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.5(1)	Q1
Article RTS-1773 Art.5(2)	Q2
Article RTS-1773 Art.5(2)	Q3
Article RTS-1773 Art.5(2) (a)	Q4
Article RTS-1773 Art.5(2) (b)	Q5
Article RTS-1773 Art.5(2) (c)	Q6
Article RTS-1773 Art.5(2) (d)	Q7
Article RTS-1773 Art.5(2) (e)	Q8
Article RTS-1773 Art.5(2) (f)	Q9
Article RTS-1773 Art.5(2) (g)	Q10
Article RTS-1773 Art.5(2) (h)	Q11
Article RTS-1773 Art.5(2) (i)	Q12

RTS-1773 Article 6

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.6(1)(a)	Q1
Article RTS-1773 Art.6(1)(b)	Q2
Article RTS-1773 Art.6(1)(c)	Q3
Article RTS-1773 Art.6(1)(d)	Q4
Article RTS-1773 Art.6(1)(e)	Q5
Article RTS-1773 Art.6(1)(f)	Q6
Article RTS-1773 Art.6(2)	Q7
Article RTS-1773 Art.6(3)(a)	Q8
Article RTS-1773 Art.6(3)(b)	Q9
Article RTS-1773 Art.6(3)(c)	Q10
Article RTS-1773 Art.6(3)(d)	Q11
Article RTS-1773 Art.6(3)(e)	Q12
Article RTS-1773 Art.6(4)	Q13

RTS-1773 Article 7

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.7(1)	Q1
Article RTS-1773 Art.7(2)	Q2

RTS-1773 Article 8

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.8(1)	Q1
Article RTS-1773 Art.8(2)(a)	Q2
Article RTS-1773 Art.8(2)(b)	Q3
Article RTS-1773 Art.8(2)(c)	Q4
Article RTS-1773 Art.8(2)(d)	Q5
Article RTS-1773 Art.8(3)	Q6

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.8(3) (a)	Q7
Article RTS-1773 Art.8(3) (b)	Q8
Article RTS-1773 Art.8(3) (c)	Q9
Article RTS-1773 Art.8(3) (d)	Q10
Article RTS-1773 Art.8(3) (e)	Q11
Article RTS-1773 Art.8(3) (f)	Q12
Article RTS-1773 Art.8(3) (g)	Q13
Article RTS-1773 Art.8(3) (h)	Q14
Article RTS-1773 Art.8(4)	Q15

RTS-1773 Article 9

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.9(1)	Q1
Article RTS-1773 Art.9(2) (a)	Q2
Article RTS-1773 Art.9(2) (b)	Q3
Article RTS-1773 Art.9(2) (c)	Q4
Article RTS-1773 Art.9(2) (d)	Q5
Article RTS-1773 Art.9(2) (e)	Q6
Article RTS-1773 Art.9(3)	Q7
Article RTS-1773 Art.9(4)	Q8

RTS-1773 Article 10

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.10	Q1
Article RTS-1773 Art.10(a)	Q2
Article RTS-1773 Art.10(b)	Q3
Article RTS-1773 Art.10(c)	Q4
Article RTS-1773 Art.10	Q5

RTS-1773 Article 11

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1773 Art.11	– <i>informational only</i> – <i>Final-provisions clause; not an auditable obligation.</i>

RTS-1774 Article 1

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.1	Q1

RTS-1774 Article 2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.2(1)	Q1
Article RTS-1774 Art.2(2)(a)	Q2
Article RTS-1774 Art.2(2)(b)	Q3
Article RTS-1774 Art.2(2)(c)	Q4
Article RTS-1774 Art.2(2)(c)(i)	Q5
Article RTS-1774 Art.2(2)(c)(ii)	Q6
Article RTS-1774 Art.2(2)(c)(iii)	Q7
Article RTS-1774 Art.2(2)(d)	Q8
Article RTS-1774 Art.2(2)(e)	Q9
Article RTS-1774 Art.2(2)(f)	Q10
Article RTS-1774 Art.2(2)(g)	Q11
Article RTS-1774 Art.2(2)(h)	Q12
Article RTS-1774 Art.2(2)(i)	Q13
Article RTS-1774 Art.2(2)(j)	Q14
Article RTS-1774 Art.2(2)(k)	Q15

RTS-1774 Article 3

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.3(a)	Q1
Article RTS-1774 Art.3(b)	Q2
Article RTS-1774 Art.3(c)	Q3
Article RTS-1774 Art.3(d)	Q4
Article RTS-1774 Art.3(e)	Q5
Article RTS-1774 Art.3(f)	Q6
Article RTS-1774 Art.3	Q7

RTS-1774 Article 4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.4(1)	Q1
Article RTS-1774 Art.4(2)(a)	Q2
Article RTS-1774 Art.4(2)(b)	Q3
Article RTS-1774 Art.4(2)(b)(i)	Q4
Article RTS-1774 Art.4(2)(b)(ii)	Q5
Article RTS-1774 Art.4(2)(b)(iii)	Q6
Article RTS-1774 Art.4(2)(b)(iv)	Q7
Article RTS-1774 Art.4(2)(b)(v)	Q8
Article RTS-1774 Art.4(2)(b)(vi)	Q9
Article RTS-1774 Art.4(2)(b)(vii)	Q10
Article RTS-1774 Art.4(2)(b)(viii)	Q11
Article RTS-1774 Art.4(2)(b)(ix)	Q12
Article RTS-1774 Art.4(2)(c)	Q13

RTS-1774 Article 5

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.5(1)	Q1

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.5(2) (a)	Q2
Article RTS-1774 Art.5(2) (b)	Q3

RTS-1774 Article 6

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.6(1)	Q1
Article RTS-1774 Art.6(2) (a)	Q2
Article RTS-1774 Art.6(2) (b)	Q3
Article RTS-1774 Art.6(2) (c)	Q4
Article RTS-1774 Art.6(2) (d)	Q5
Article RTS-1774 Art.6(3)	Q6
Article RTS-1774 Art.6(4)	Q7
Article RTS-1774 Art.6(5)	Q8

RTS-1774 Article 7

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.7(1)	Q1
Article RTS-1774 Art.7(2)	Q2
Article RTS-1774 Art.7(3)	Q3
Article RTS-1774 Art.7(4)	Q4
Article RTS-1774 Art.7(5)	Q5

RTS-1774 Article 8

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.8(1)	Q1
Article RTS-1774 Art.8(2) (a) (i)	Q2
Article RTS-1774 Art.8(2) (a) (ii)	Q3
Article RTS-1774 Art.8(2) (a) (iii)	Q4
Article RTS-1774 Art.8(2) (b) (i)	Q5

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.8(2)(b)(ii)	Q6
Article RTS-1774 Art.8(2)(b)(iii)	Q7
Article RTS-1774 Art.8(2)(b)(iv)	Q8
Article RTS-1774 Art.8(2)(b)(v)	Q9
Article RTS-1774 Art.8(2)(b)(vi)	Q10
Article RTS-1774 Art.8(2)(b)(vii)	Q11
Article RTS-1774 Art.8(2)(c)(i)	Q12
Article RTS-1774 Art.8(2)(c)(ii)	Q13
Article RTS-1774 Art.8(2)(c)(iii)	Q14

RTS-1774 Article 9

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.9(1)(a)	Q1
Article RTS-1774 Art.9(1)(b)	Q2
Article RTS-1774 Art.9(1)(c)	Q3
Article RTS-1774 Art.9(2)	Q4

RTS-1774 Article 10

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.10(1)	Q1
Article RTS-1774 Art.10(2)(a)	Q2
Article RTS-1774 Art.10(2)(b)	Q3
Article RTS-1774 Art.10(2)(c)	Q4
Article RTS-1774 Art.10(2)(d)	Q5
Article RTS-1774 Art.10(2)(e)	Q6
Article RTS-1774 Art.10(2)(f)	Q7
Article RTS-1774 Art.10(2)(g)	Q8
Article RTS-1774 Art.10(2)(h)	Q9

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.10(3)	Q10
Article RTS-1774 Art.10(4)(a)	Q11
Article RTS-1774 Art.10(4)(b)	Q12
Article RTS-1774 Art.10(4)(c)	Q13
Article RTS-1774 Art.10(4)(d)	Q14

RTS-1774 Article 11

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.11(1)	Q1
Article RTS-1774 Art.11(2)(a)	Q2
Article RTS-1774 Art.11(2)(b)	Q3
Article RTS-1774 Art.11(2)(c)	Q4
Article RTS-1774 Art.11(2)(d)	Q5
Article RTS-1774 Art.11(2)(e)	Q6
Article RTS-1774 Art.11(2)(f)	Q7
Article RTS-1774 Art.11(2)(g)	Q8
Article RTS-1774 Art.11(2)(h)	Q9
Article RTS-1774 Art.11(2)(i)	Q10
Article RTS-1774 Art.11(2)(j)	Q11
Article RTS-1774 Art.11(2)(k)	Q12

RTS-1774 Article 12

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.12(1)	Q1
Article RTS-1774 Art.12(2)(a)	Q2
Article RTS-1774 Art.12(2)(b)	Q3
Article RTS-1774 Art.12(2)(c)(i)	Q4
Article RTS-1774 Art.12(2)(c)(ii)	Q5

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.12(2)(c)(iii)	Q6
Article RTS-1774 Art.12(2)(c)(iv)	Q7
Article RTS-1774 Art.12(2)(c)(v)	Q8
Article RTS-1774 Art.12(2)(d)	Q9
Article RTS-1774 Art.12(2)(e)	Q10
Article RTS-1774 Art.12(2)(f)	Q11

RTS-1774 Article 13

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.13(a)	Q1
Article RTS-1774 Art.13(b)	Q2
Article RTS-1774 Art.13(c)	Q3
Article RTS-1774 Art.13(d)	Q4
Article RTS-1774 Art.13(e)	Q5
Article RTS-1774 Art.13(f)	Q6
Article RTS-1774 Art.13(g)	Q7
Article RTS-1774 Art.13(h)	Q8
Article RTS-1774 Art.13(i)	Q9
Article RTS-1774 Art.13(j)	Q10
Article RTS-1774 Art.13(k)	Q11
Article RTS-1774 Art.13(l)	Q12
Article RTS-1774 Art.13(m)	Q13

RTS-1774 Article 14

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.14(1)(a)	Q1
Article RTS-1774 Art.14(1)(b)	Q2
Article RTS-1774 Art.14(1)(c)	Q3

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.14(2)	Q4

RTS-1774 Article 15

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.15(1)	Q1
Article RTS-1774 Art.15(2)	Q2
Article RTS-1774 Art.15(3)(a)	Q3
Article RTS-1774 Art.15(3)(b)	Q4
Article RTS-1774 Art.15(3)(c)	Q5
Article RTS-1774 Art.15(3)(d)	Q6
Article RTS-1774 Art.15(3)(e)	Q7
Article RTS-1774 Art.15(3)(f)	Q8
Article RTS-1774 Art.15(3)(g)	Q9
Article RTS-1774 Art.15(4)	Q10
Article RTS-1774 Art.15(5)	Q11

RTS-1774 Article 16

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.16(1)(a)	Q1
Article RTS-1774 Art.16(1)(b)(i)	Q2
Article RTS-1774 Art.16(1)(b)(ii)	Q3
Article RTS-1774 Art.16(1)(c)	Q4
Article RTS-1774 Art.16(2)	Q5
Article RTS-1774 Art.16(2)	Q6
Article RTS-1774 Art.16(2)	Q7
Article RTS-1774 Art.16(3)	Q8
Article RTS-1774 Art.16(4)	Q9
Article RTS-1774 Art.16(5)(a)	Q10

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.16(5)(b)	Q11
Article RTS-1774 Art.16(6)	Q12
Article RTS-1774 Art.16(7)	Q13
Article RTS-1774 Art.16(8)	Q14
Article RTS-1774 Art.16(9)	Q15

RTS-1774 Article 17

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.17(1)(a)	Q1
Article RTS-1774 Art.17(1)(b)	Q2
Article RTS-1774 Art.17(1)(c)	Q3
Article RTS-1774 Art.17(1)(d)	Q4
Article RTS-1774 Art.17(1)(e)	Q5
Article RTS-1774 Art.17(1)(f)	Q6
Article RTS-1774 Art.17(1)(g)	Q7
Article RTS-1774 Art.17(1)(h)	Q8
Article RTS-1774 Art.17(2)	Q9

RTS-1774 Article 18

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.18(1)	Q1
Article RTS-1774 Art.18(2)(a)	Q2
Article RTS-1774 Art.18(2)(b)	Q3
Article RTS-1774 Art.18(2)(c)	Q4
Article RTS-1774 Art.18(2)(d)	Q5
Article RTS-1774 Art.18(2)(e)	Q6

RTS-1774 Article 19

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.19(a)	Q1
Article RTS-1774 Art.19(b)(i)	Q2
Article RTS-1774 Art.19(b)(ii)	Q3
Article RTS-1774 Art.19(b)(iii)	Q4

RTS-1774 Article 20

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.20(1)	Q1
Article RTS-1774 Art.20(2)(a)	Q2
Article RTS-1774 Art.20(2)(b)	Q3

RTS-1774 Article 21

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.21(a)	Q1
Article RTS-1774 Art.21(b)	Q2
Article RTS-1774 Art.21(c)	Q3
Article RTS-1774 Art.21(d)	Q4
Article RTS-1774 Art.21(e)(i)	Q5
Article RTS-1774 Art.21(e)(ii)	Q6
Article RTS-1774 Art.21(e)(iii)	Q7
Article RTS-1774 Art.21(e)(iv)	Q8
Article RTS-1774 Art.21(f)(i)	Q9
Article RTS-1774 Art.21(f)(ii)	Q10
Article RTS-1774 Art.21(g)(i)	Q11
Article RTS-1774 Art.21(g)(ii)	Q12
Article RTS-1774 Art.21(g)(iii)	Q13
Article RTS-1774 Art.21(g)(iv)	Q14

RTS-1774 Article 22

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.22(a)	Q1
Article RTS-1774 Art.22(b)	Q2
Article RTS-1774 Art.22(c)	Q3
Article RTS-1774 Art.22(d)	Q4
Article RTS-1774 Art.22(e)	Q5

RTS-1774 Article 23

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.23(1)	Q1
Article RTS-1774 Art.23(2)(a)(i)	Q2
Article RTS-1774 Art.23(2)(a)(ii)	Q3
Article RTS-1774 Art.23(2)(a)(iii)	Q4
Article RTS-1774 Art.23(2)(b)	Q5
Article RTS-1774 Art.23(2)(c)	Q6
Article RTS-1774 Art.23(2)(d)	Q7
Article RTS-1774 Art.23(3)	Q8
Article RTS-1774 Art.23(4)	Q9
Article RTS-1774 Art.23(5)(a)	Q10
Article RTS-1774 Art.23(5)(b)	Q11
Article RTS-1774 Art.23(5)(c)	Q12
Article RTS-1774 Art.23(5)(d)	Q13
Article RTS-1774 Art.23(6)	Q14

RTS-1774 Article 24

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.24(1)(a)(i)	Q1
Article RTS-1774 Art.24(1)(a)(ii)	Q2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.24(1)(a)(iii)	Q3
Article RTS-1774 Art.24(1)(a)(iv)	Q4
Article RTS-1774 Art.24(1)(b)(i)	Q5
Article RTS-1774 Art.24(1)(b)(ii)	Q6
Article RTS-1774 Art.24(1)(b)(iii)	Q7
Article RTS-1774 Art.24(1)(b)(iv)	Q8
Article RTS-1774 Art.24(1)(b)(v)	Q9
Article RTS-1774 Art.24(1)(b)(vi)	Q10
Article RTS-1774 Art.24(2)	Q11
Article RTS-1774 Art.24(3)	Q12
Article RTS-1774 Art.24(4)	Q13

RTS-1774 Article 25

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.25(1)	Q1
Article RTS-1774 Art.25(2)(a)	Q2
Article RTS-1774 Art.25(2)(b)	Q3
Article RTS-1774 Art.25(2)(c)	Q4
Article RTS-1774 Art.25(2)(d)	Q5
Article RTS-1774 Art.25(2)(e)	Q6
Article RTS-1774 Art.25(5)	Q7

RTS-1774 Article 26

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.26(1)(a)	Q1
Article RTS-1774 Art.26(1)(b)	Q2
Article RTS-1774 Art.26(1)(c)	Q3
Article RTS-1774 Art.26(1)(d)	Q4

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.26(1)(e)	Q5
Article RTS-1774 Art.26(1)(f)	Q6
Article RTS-1774 Art.26(2)(a)	Q7
Article RTS-1774 Art.26(2)(b)	Q8
Article RTS-1774 Art.26(2)(c)	Q9
Article RTS-1774 Art.26(2)(d)	Q10
Article RTS-1774 Art.26(2)(e)	Q11
Article RTS-1774 Art.26(2)(f)	Q12
Article RTS-1774 Art.26(2)(g)	Q13
Article RTS-1774 Art.26(2)(h)	Q14
Article RTS-1774 Art.26(2)(i)	Q15
Article RTS-1774 Art.26(3)	Q16
Article RTS-1774 Art.26(4)	Q17

RTS-1774 Article 27

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.27(1)	Q1
Article RTS-1774 Art.27(2)(a)	Q2
Article RTS-1774 Art.27(2)(b)	Q3
Article RTS-1774 Art.27(2)(c)	Q4
Article RTS-1774 Art.27(2)(d)	Q5
Article RTS-1774 Art.27(2)(e)	Q6
Article RTS-1774 Art.27(2)(f)	Q7
Article RTS-1774 Art.27(2)(g)	Q8
Article RTS-1774 Art.27(2)(h)	Q9
Article RTS-1774 Art.27(2)(i)	Q10
Article RTS-1774 Art.27(2)(j)	Q11
Article RTS-1774 Art.27(2)(k)	Q12

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.27(2)(l)	Q13

RTS-1774 Article 28

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.28(1)	Q1
Article RTS-1774 Art.28(2)(a)	Q2
Article RTS-1774 Art.28(2)(b)	Q3
Article RTS-1774 Art.28(2)(c)	Q4
Article RTS-1774 Art.28(2)(d)	Q5
Article RTS-1774 Art.28(2)(e)	Q6
Article RTS-1774 Art.28(2)(f)	Q7
Article RTS-1774 Art.28(2)(g)	Q8
Article RTS-1774 Art.28(2)(h)	Q9
Article RTS-1774 Art.28(2)(i)	Q10
Article RTS-1774 Art.28(3)	Q11
Article RTS-1774 Art.28(4)	Q12
Article RTS-1774 Art.28(5)	Q13
Article RTS-1774 Art.28(6)	Q14

RTS-1774 Article 29

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.29(1)	Q1
Article RTS-1774 Art.29(2)	Q2

RTS-1774 Article 30

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.30(1)	Q1
Article RTS-1774 Art.30(2)	Q2

RTS-1774 Article 31

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.31(1)(a)	Q1
Article RTS-1774 Art.31(1)(b)	Q2
Article RTS-1774 Art.31(1)(c)	Q3
Article RTS-1774 Art.31(1)(d)	Q4
Article RTS-1774 Art.31(1)(e)	Q5
Article RTS-1774 Art.31(2)	Q6
Article RTS-1774 Art.31(3)	Q7
Article RTS-1774 Art.31(4)	Q8

RTS-1774 Article 32

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.32(1)	Q1
Article RTS-1774 Art.32(2)	Q2
Article RTS-1774 Art.32(3)	Q3

RTS-1774 Article 33

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.33(a)	Q1
Article RTS-1774 Art.33(b)	Q2
Article RTS-1774 Art.33(c)	Q3
Article RTS-1774 Art.33(d)	Q4
Article RTS-1774 Art.33(e)	Q5

RTS-1774 Article 34

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.34(a)	Q1
Article RTS-1774 Art.34(b)	Q2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.34(c)	Q3
Article RTS-1774 Art.34(d)	Q4
Article RTS-1774 Art.34(e)	Q5
Article RTS-1774 Art.34(f)	Q6
Article RTS-1774 Art.34(g)	Q7
Article RTS-1774 Art.34(h)	Q8
Article RTS-1774 Art.34(i)	Q9

RTS-1774 Article 35

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.35(a)	Q1
Article RTS-1774 Art.35(b)	Q2
Article RTS-1774 Art.35(c)	Q3
Article RTS-1774 Art.35(d)	Q4
Article RTS-1774 Art.35(e)	Q5
Article RTS-1774 Art.35(f)	Q6
Article RTS-1774 Art.35(g)	Q7

RTS-1774 Article 36

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.36(1)	Q1
Article RTS-1774 Art.36(2)	Q2
Article RTS-1774 Art.36(3)	Q3

RTS-1774 Article 37

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.37(a)	Q1
Article RTS-1774 Art.37(b)	Q2
Article RTS-1774 Art.37(c)	Q3

RTS-1774 Article 38

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.38(1)	Q1
Article RTS-1774 Art.38(2)	Q2

RTS-1774 Article 39

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.39(1)	Q1
Article RTS-1774 Art.39(2)(a)	Q2
Article RTS-1774 Art.39(2)(b)	Q3
Article RTS-1774 Art.39(2)(c)	Q4
Article RTS-1774 Art.39(2)(d)	Q5
Article RTS-1774 Art.39(2)(e)	Q6
Article RTS-1774 Art.39(2)(f)	Q7
Article RTS-1774 Art.39(2)(g)	Q8
Article RTS-1774 Art.39(2)(h)	Q9
Article RTS-1774 Art.39(2)(i)	Q10
Article RTS-1774 Art.39(2)(j)	Q11

RTS-1774 Article 40

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.40(1)	Q1
Article RTS-1774 Art.40(2)	Q2
Article RTS-1774 Art.40(3)	Q3

RTS-1774 Article 41

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.41(1)	Q1
Article RTS-1774 Art.41(2)(a)	Q2

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.41(2)(b)	Q3
Article RTS-1774 Art.41(2)(c)	Q4
Article RTS-1774 Art.41(2)(d)	Q5
Article RTS-1774 Art.41(2)(e)	Q6
Article RTS-1774 Art.41(2)(f)	Q7
Article RTS-1774 Art.41(2)(g)	Q8
Article RTS-1774 Art.41(2)(h)	Q9

RTS-1774 Article 42

REGULATION LEAF	AUDIT-CHECKLIST QUESTION(S)
Article RTS-1774 Art.42	– <i>informational only</i> – <i>Final-provisions clause; not an auditable obligation.</i>